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UTILITY **PATENT APPLICATION** TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b)

Attorney Docket No. 774-98129

Total Pages

First Named Inventor or Application Identifier O

Tatsuhiko SHIBUYA et al.

Express Mail Label No. not applicable

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO:

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1. [X] Fee Transmittal Form

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2. [X] Specification [Total Pages - 16]

(preferred arrangement set forth below)

- Descriptive title of the Invention
- Cross References to Related Applications
- Statement Regarding Fed sponsored R & D
- Reference to Microfiche Appendix
- Background of the Invention
- Brief Summary of the Invention
- Brief Description of the Drawings (if filed)
- **Detailed Description**
- Claim(s)
- Abstract of the Disclosure

3. [] Drawing(s) *(35 USC 113)* [Total sheets - 0]

4. [X] Oath or Declaration

[Total Pages - 3]

a.1. [X] Newly executed (original or copy)

a.2. [] Unexecuted

b. [] Copy from a prior application (37 CFR 1.63(d)) (for continuation/divisional with Box 17 completed) [Note Box 5 below]

i. [] DELETION OF INVENTOR(S)

Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).

5. [] Incorporation By Reference (usable if Box 4b is checked)

> The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.

[] Microfiche Computer Program (Appendix)

- Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
 - a. [] Computer Readable Copy
 - b. [] Paper Copy (identical to computer copy)
 - c. [] Statement verifying identity of above copies

Washington, D.C. 20231

ACCOMPANYING APPLICATION PARTS

- [X] Assignment Papers (cover sheet & document(s))
- [] 37 CFR 3.73(b) Statement
- [] Power of Attorney
- (when there is an assignee)
- 10. [] English Translation Document (if applicable)
- 11. [] Information Disclosure
- [] Copies of IDS Citations
- Statement (IDS)/PTO-1449
- 12. [] Preliminary Amendment 13. [X] Return Receipt Postcard (MPEP 503)

(Should be specifically itemized)

- 14. [] Small Entity Statement(s)
 - Statement filed in prior application, Status still proper and desired
- 15. [X] Certified Copy of Priority Document(s) (if foreign priority is claimed)
- 16. [] Other

17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information: [] Continuation [] Divisional [] Continuation-in-part (CIP) of prior application No.

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April 30, 1999

THE CONKISSIONUE IS ADDITION TO TO CHARGE OUT RELIGIOUS TO ADVISOR ACCOUNT NO. 15-0-25

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Tatsuhiko SHIBUYA et al.

Serial No. [NEW]

Attn: APPLICATION BRANCH

Filed April 30, 1999

Attorney Docket No.

774-98129

SILICA-BASED COATING FILM ON SUBSTRATE AND COATING SOLUTION THEREFOR

PATENT OFFICE FEE TRANSMITTAL FORM

Assistant Commissioner for Patents Washington, DC 20231

Sir:

Attached hereto is a check in the amount of \$878.00 to cover Patent Office fees relating to filing the following attached papers:

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A duplicate copy of this paper is being submitted for use in the Accounting Division, Office of Finance.

The Commissioner is authorized to charge any deficiency or to credit any overpayment associated with this communication to Deposit Account No. 23-0975, with the EXCEPTION of deficiencies in fees for multiple dependent claims in new applications.

Respectfully submitted,

Tatsuhiko SHIBUYA et al.

By water get

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99-0469*/MJ/774

SILICA-BASED COATING FILM ON SUBSTRATE AND COATING SOLUTION THEREFOR

BACKGROUND OF THE INVENTION

The present invention relates to a silica-based coating film on a substrate surface and a coating solution for the formation of a silica-based coating film on a substrate surface. More particularly, the invention relates to a silica-based coating film having a low dielectric constant formed on a substrate surface in the manufacturing process of various kinds of semiconductor devices to serve as a planarizing layer, interlayer insulating layer and the like as well as to a coating solution containing a specific hydrolysis-condensation product of a hydrolyzable silane compound for the formation of a silica-based coating film.

It is conventional in the manufacturing process of semiconductor devices that, when a planarizing layer or interlayer insulating layer has to be formed, a silica-based coating film is formed on the substrate surface to serve for the purpose. Several methods are utilized for the formation of such a silica-based coating film on a substrate surface including the chemical vapor-phase deposition (CVD) method, coating method by the use of a coating solution and others.

The CVD method, when applied to the formation of a silica-based coating film, is conducted by using a specialized apparatus in which silica optionally doped with phosphorus or boron is vapor-deposited to grow a silica-based coating film on the substrate surface. In the solution-coating method for the formation of a silica-based coating film, on the other hand, the surface of a substrate is coated with a coating solution containing a partial hydrolysis-condensation product of an alkoxy silane compound dissolved in an organic solvent followed by baking of the coating layer to complete the silica-based coating film, in which the hydrolysis-condensation reaction of the alkoxy silane compound in an organic solvent is performed usually in the presence of an acidic catalyst.

One of the serious problems in the electronic information-processing technology in recent years is that, along with the increasing trend of semiconductor devices toward a higher and higher degree of multiplication of multilayered pattern structure and fineness of patterning, a delay is caused in the signal transmission not to allow high velocity working of ULSIs. A large number of proposals have been made for a solution of this problem including an idea to employ a dielectric material of a low dielectric constant for the interlayer insulating layer.

For example, a proposal is made for an insulating film of silica doped with fluorine, referred to as an SiOF film hereinafter, as formed by the CVD method. One of the problems in the SiOF film is the expensiveness of the CVD apparatus therefor resulting in high costs. In addition, the SiOF film contains a Si-F linkage having high reactivity which reacts with water in the atmosphere to form an Si-OH linkage having an effect of increasing the dielectric constant of the film. Accordingly, the dielectric constant of SiOF films can rarely be lower than about 3.3.

In the solution-coating method for the formation of a silica-based coating film, proposals are made for the use of methyl polysiloxanes and hydrogen silsesquioxanes having a relatively low dielectric constant, such as a hydrolysis-condensation product of a dimethyl alkoxy silane and methyl silsesquioxane, as the film-forming constituent in the coating solution. The dielectric constant of the films consisting of a methyl polysiloxane and hydrogen silsesquioxane, however, can be about 2.8 and 3.3, respectively, at the lowest and a dielectric film having a dielectric constant lower than 2.8 can hardly be obtained. Accordingly, the solution-coating method by using these coating solutions cannot meet the requirements in the modern semiconductor devices for a lower and lower dielectric constant of the silica-based coating films.

It is a known technology that a poly(methylsilsesquioxane) powder as a filler for use in synthetic resins and rubbers and coating compositions are prepared from a polyalkoxy silane compound by conducting the hydrolysis—condensation reaction thereof in the presence of a basic catalyst (see, for example, Japanese Patent Kokai 54-72300 and 2-209927 for the powder and Japanese Patent Kokai 2-627 for the coating composition). No proposals have been made heretofore, however, on the application of such a technology to hydrolyze a polyalkoxy silane compound in the presence of a basic or alkaline catalyst for the preparation of a coating solution which can be used for the formation of a silica-based coating film on a substrate surface in the manufacturing process of semiconductor devices.

A method is disclosed in Japanese Patent Kokai 5-125187 for the preparation of a poly(organosilsesquioxane) having an extremely high molecular weight by promoting condensation of a low-degree hydrolysis-condensation product of a trialkoxy silane compound in the presence of an alkaline catalyst. Further, a proposal is made for the formation of a silicabased coating film having a low dielectric constant by using a coating solution obtained by conducting the hydrolysis treatment of an alkoxy silane compound in two steps including the first step in which the hydrolysis reaction is conducted in the presence of an alkaline catalyst to give a low-degree hydrolysis product which is further hydrolyzed in the second step in the presence of an acidic catalyst. The above described methods, however, are defective due to the low stability of the silica-based coating films obtained thereby and, even if the problem of stability is set aside, due to the dielectric constant of the coating films not low enough which is 3.8 at the lowest to be much higher than the target value of 2.5 desirable in the manufacture of semiconductor devices.

SUMMARY OF THE INVENTION

The present invention accordingly has a primary object to provide a silica-based coating film having a dielectric constant of as low as 2.5 or even lower to be useful as a planarizing surface layer or interlayer insulating layer on a substrate surface in the manufacture of semiconductor devices.

The invention has a further object to provide a coating solution for use in the formation of a high-quality silicabased coating film mentioned above on the surface of a substrate as well as a method of using the same for the formation of a high-quality silica-based coating film.

Thus, the coating solution provided by the present invention for the formation of a silica-based coating film is a uniform solution which comprises:

- (A) an organic solvent; and
- (B) an organopolysiloxane, which is a hydrolysis-condensation product of a polyalkoxy silane compound represented by the general formula

$$R^{1}_{4-n}Si(OR^{2})_{n}$$
, (I)

in which R^1 is a hydrogen atom or a monovalent hydrocarbon group, R^2 is an alkyl group and the subscript n is 2, 3 or 4, dissolved in the organic solvent in the presence of a basic compound.

The above defined coating solution of the present invention can be prepared by a method which comprises the steps of:

- (a) dissolving the above defined polyalkoxy silane compound in a first organic solvent, which is preferably an alcohol solvent, to give a solution, in a concentration in the range from 1 to 5% by weight calculated as SiO_2 ;
- (b) admixing the solution with a basic compound and water to effect hydrolysis of the polyalkoxy silane compound forming a hydrolysis-condensation product thereof; and
- (c) replacing the first organic solvent in the solution with a second organic solvent, which is preferably an aprotic polar organic solvent, in such an amount that the concentration of the hydrolysis-condensation product of the polyalkoxy silane compound in the solution is in the range from 5 to 25% by weight calculated as SiO_2 .

In the formation of a silica-based coating film on the surface of a substrate, the substrate surface is coated with

the coating solution described above to give a coating layer of the solution which is dried and then subjected to a heat treatment at a temperature of 350 $^{\circ}$ C or higher.

The thus obtained silica-based coating film is characterized by the dielectric constant thereof which does not exceed 2.5.

DETAILED DESCRIPTION OF THE PREFERRED EMBODIMENTS
As is described above, the starting material in the preparation of the inventive coating solution is a polyalkoxy silane compound having two or more alkoxy groups bonded to the silicon atom in the molecule as represented by the general formula (I). The group denoted by R¹ in the formula is a hydrogen atom or a monovalent hydrocarbon group which is preferably an alkyl group having 1 to 4 carbon atoms including methyl, ethyl, propyl and butyl groups. The group denoted by R² in the formula is also an alkyl group having 1 to 4 carbon atoms including methyl, ethyl, propyl and butyl groups. The subscript n in the formula is 2, 3 or 4.

Examples of the polyalkoxy silane compound suitable as the starting material of the inventive coating solution includes tetraalkoxy silanes such as tetramethoxy silane, tetraethoxy silane, tetrapropoxy silane and tetrabutoxy silane; monoalkyl trialkoxy silanes such as monomethyl trimethoxy silane, monomethyl triethoxy silane, monoethyl trimethoxy silane, monoethyl triethoxy silane, monomethyl dimethoxy monoethoxy silane and monoethyl dimethoxy monoethoxy silane; dialkyl dialkoxy silanes such as dimethyl dimethoxy silane, diethyl dimethoxy silane, diethyl dipropoxy silane, diethyl diethoxy silane, diethyl dipropoxy silane, monomethyl monoethyl dimethoxy silane and monomethyl monoethyl diethoxy silane; and trialkoxy silanes such as trimethoxy silane, triethoxy silane, tripropoxy silane and tributoxy silane.

Although any one of the above named silane compounds can be used for the purpose either singly or as a combination of two kinds or more, particularly preferable are tetraalkoxy silanes such as tetramethoxy silane and tetraethoxy silane, trialkoxy silanes such as trimethoxy silane and triethoxy silane, and monoalkyl trialkoxy silanes such as monomethyl trimethoxy silane and monomethyl triethoxy silane since these silane compounds can give a silica-based coating film having a still lower dielectric constant than the other polyalkoxy silane compounds.

In the preparation of the inventive coating solution, the polyalkoxy silane compound described above is dissolved in a first organic solvent to give a solution in a concentration in the range from 1 to 5% by weight calculated as SiO2 to be subjected to a hydrolysis reaction therein in the presence of a basic catalyst. The reason for this limitation in the silane concentration is as follows. In the conventional hydrolysis reaction of an alkoxy silane compound in the presence of an acidic catalyst, the hydrolysis reaction is initiated as an electrophilic substitution reaction by the hydrogen ions to form a silanol compound having one or two silanolic hydroxyl groups which pertain to the dehydration condensation reaction forming a polysiloxane species of which the weight-average molecular weight can rarely exceed 2000 to 5000. In the hydrolysis reaction of an alkoxy silane compound in the presence of a basic catalyst, in contrast thereto, the hydrolysis reaction is initiated as a nucleophilic substitution reaction by the hydroxyl ions readily to form a silanolic compound having up to four silanolic hydroxyl groups in a molecule which pertain more easily to the dehydration condensation giving a polysiloxane species having a weight-average molecular weight of as large as several tens of thousands to several hundreds of thousands so that the solution sometimes causes gelation. disadvantage due to gelation of the solution can be avoided when the concentration of the alkoxy silane compound to be hydrolyzed in the solution is so low as to be within the above mentioned range of low concentrations.

The organic solvent as the first solvent in which the hydrolysis reaction of the polyalkoxy silane compound is

effected is not particularly limitative provided that the polyalkoxy silane compound, water and basic catalytic compound are each soluble therein and the hydrolysis reaction of the polyalkoxy silane compound and the following dehydration condensation reaction of the hydrolysis product are not adversely influenced thereby. Examples of organic solvents suitable as the first solvent includes lower alcohols such as methyl, ethyl and propyl alcohols, ketones such as acetone and methyl ethyl ketone and polyhydric alcohols and derivatives thereof such as ethyleneglycol, diethyleneglycol, propyleneglycol and dipropyleneglycol as well as their monomethyl, monoethyl, monopropyl and monobutyl ethers, dimethyl, diethyl, dipropyl and dibutyl ethers, monomethyl ether acetate and monoethyl ether acetate, of which the alcoholic solvents are preferable although any of these organic solvents can be used either singly or as a mixture of two kinds or more.

The hydrolysis reaction of the polyalkoxy silane compound in the thus prepared solution proceeds by the addition of water and a basic compound as the catalyst to the solution. In order for the reaction to proceed at a moderate rate, the amount of the basic catalyst should be adequately selected in the range from 10^{-1} to 10^{-5} mole or, preferably, from 10^{-2} to 10^{-4} mole per mole of the polyalkoxy silane compound. The basic compound to serve as the basic catalyst should be free from any metallic elements such as sodium and potassium since metallic elements remaining in the silica-based coating layer may sometimes adversely affect the performance of the semiconductor device. In this regard, the basic compound is selected from the group consisting of ammonia in the form of ammonia water and organic amine compounds including aromatic amine compounds such as aniline and N-methyl aniline and lower aliphatic tertiary amines such as triethylamine, tripropylamine, tributylamine, triethanolamine and tripropanolamine. These basic compounds can be used either singly or as a combination of two kinds or more.

The amount of water added to the solution of the polyalkoxy silane compound in the first organic solvent to serve as the reactant in the hydrolysis reaction is in the range, usually, from 2.0 to 20 moles or, preferably, from 4.0 to 10 moles per mole of the polyalkoxy silane compound though dependent on the number of the alkoxy groups per molecule of the polyalkoxy silane compound. When the silane solution is prepared according to the above described formulation, the hydrolysis-condensation reaction of the polyalkoxy silane proceeds at room temperature. The hydrolysis-condensation reaction is performed at a temperature in the range, usually, from 0 to 70 $^{\circ}$ C or, preferably, from 20 to 25 $^{\circ}$ C. The length of time taken for the hydrolysis-condensation reaction is, though dependent on the reaction temperature, selected in the range, usually, from 1 to 100 hours or, preferably, from 5 to 50 hours in order for the dehydration condensation reaction of the silanol groups following the hydrolysis reaction to proceed to a full extent.

After completion of the hydrolysis-dehydration condensation of the polyalkoxy silane compound in the first organic solvent to give a solution of the hydrolysis-condensation product, the first organic solvent which is preferably an alcohol solvent is replaced with a second organic solvent which is preferably an aprotic polar solvent selected from the group consisting of N-methyl pyrrolidone, dimethylform-amide and dimethylacetamide either singly or as a combination of two kinds or more to give a solution of the hydrolysis-condensation product in the second organic solvent.

It is presumable that, different from the hydrolysis reaction of a polyalkoxysilane compound in the presence of an acidic catalyst, the silanol compound formed by the hydrolysis reaction with a basic catalyst has an increased number of silanolic hydroxyl groups per molecule so that the solution of the hydrolysis-condensation product is more liable to further dehydration condensation reaction leading to eventual gelation of the solution with a decreased storage stability as a trend. It has been unexpectedly discovered that, when the alcoholic

solvent as the first organic solvent in the solution of the hydrolysis-condensation product is replaced with an aprotic polar solvent mentioned above as the second organic solvent in a specified concentration, the storage stability of the solution can be greatly improved.

The concentration of the hydrolysis-condensation product in this solution, which is the coating solution of the present invention, is in the range from 5 to 25% by weight calculated as SiO_2 . Although this concentration is substantially higher than in the solution with the first organic solvent, the solution is safe from the trouble of gelation.

The coating solution prepared in the above described manner is used for the formation of a silica-based coating film on the surface of a substrate which is not particularly limitative. For example, the coating film is formed on the surface of a semiconductor silicon wafer having a circuit wiring layer of a metal such as aluminum to serve as an interlayer insulating layer. A silicon wafer having an under-resist layer in the multilayered resist method can be provided with the silica-based coating film as an intermediate layer by using the coating solution. Further, a planarizing layer can be formed using the coating solution on a silicon wafer having a metallic circuit wiring layer and an interlayer insulating layer formed thereon by the CVD method or on a silicon wafer having a circuit wiring layer of polycrystalline silicon.

The method for the application of the inventive coating solution onto the substrate surface is not particularly limitative and can be selected depending on the types of the substrate surface from those conventionally undertaken in the manufacturing process of semiconductor devices including spray coating method, spin coating method, dip coating method and roller coating method, of which the spin coating method is the most widely applicable.

The coating layer of the coating solution on the substrate surface is then subjected to a drying treatment by which the solvent in the wet coating layer is evaporated to

leave a dried coating film. The conditions of the drying treatment are not particularly limitative relative to the temperature and time. It is usual that the substrate bearing a wet coating layer is heated for several minutes in an atmosphere of air or an inert gas such as nitrogen on a hot plate at a temperature of 80 to 300 °C , preferably, by increasing the temperature in several steps in order to ensure good uniformity of the dried coating film. For example, the first step heating is undertaken at 80 to 100 °C of the hot plate temperature for 30 seconds to 2 minutes, the second step heating is undertaken at 120 to 170 °C for 30 seconds to 2 minutes and the final step heating is undertaken at 190 to 300 °C for 30 seconds to 2 minutes.

In the next place, the thus dried coating film on the substrate surface is subjected to a baking treatment at a temperature of 350 $^{\circ}$ C or higher or, preferably, in the range from 350 to 800 $^{\circ}\mathrm{C}$ for 15 to 60 minutes in an atmosphere of an inert gas such as nitrogen or an oxidizing gas such as air depending on the types of the device under processing so that the coating film is converted into a silica-based coating film. The upper limit of the baking temperature also depends on the types of the semiconductor devices. substrate surface bears a circuit wiring layer of aluminum, for example, the baking treatment is conducted in a nonoxidizing atmosphere at a temperature not exceeding 500 $^{\circ}$. When the baking temperature is too low or the baking time is too short, conversion of the coating film to a silica-based coating film would be incomplete.

The thickness of the silica-based coating film thus formed on the surface of a substrate in the above described manner is naturally varied depending on the particular object of coating film formation but, in most cases, the thickness is in the range from 0.2 to 1.0 μm . The dielectric constant of the silica-based coating film can be as low as 2.5 or even lower.

In the following, the present invention is described in more detail by way of examples, which, however, never limit

the scope of the invention in any way. Example 1.

Into a uniform solution prepared by dissolving, in 887.9 g of methyl alcohol, 36.0 g (2.0 moles) of pure water and $69.47~\mu g$ of ammonia water (28% by weight concentration) were gradually added dropwise under agitation 76.10 g (0.5 mole) of tetramethoxy silane as a polyalkoxy silane compound to form a reaction mixture which was further agitated at room temperature for about 5 hours followed by standing as such for 48 hours. The concentration of the tetramethoxy silane in the reaction mixture corresponded to 3% by weight calculated as SiO_2 .

Thereafter, the reaction mixture was admixed with N-methyl pyrrolidone and subjected to an evaporation treatment on a rotary evaporator to remove methyl alcohol by evaporation. The thus obtained solution in N-methyl pyrrolidone as a coating solution for the formation of a silica-based coating film contained the hydrolysis-condensation product of tetramethoxy silane in a concentration corresponding to 10% by weight calculated as SiO_2 .

The thus prepared coating solution was uniformly applied to the surface of a semiconductor silicon wafer on a spin coater to give a coating layer which was dried by heating on a hot plate successively at 80 °C , 150 °C and 200 °C each for 1 minute followed by a baking treatment at 400 °C for 30 minutes in an atmosphere of nitrogen gas to give a silicabased coating film having a thickness of 0.4 μm .

The silica-based coating film on the substrate surface prepared above had a dielectric constant of 2.2. Example 2.

The experimental procedure was substantially the same as in Example 1 excepting for the replacement of tetramethoxy silane with the same molar amount (68.10 g) of monomethyl trimethoxy silane, decrease of the amount of pure water from 36.0 g to 27.0 g and increase of the amount of methyl alcohol from 887.9 g to 905.9 g in the preparation of the coating

solution of which the concentration of the hydrolysis-condensation product of the silane compound was 10% by weight calculated as SiO_2 .

The silica-based coating film formed in the same manner as in Example 1 from this coating solution had a dielectric constant of 2.3.

Example 3.

The experimental procedure was substantially the same as in Example 1 excepting for the replacement of tetramethoxy silane with the same molar amount (82.15 g) of triethoxy silane, decrease of the amount of pure water from 36.0 g to 27.0 g and increase of the amount of methyl alcohol from 887.9 g to 890.9 g in the preparation of the coating solution of which the concentration of the hydrolysis-condensation product of the silane compound was 10% by weight calculated as SiO_2 .

The silica-based coating film formed in the same manner as in Example 1 from this coating solution had a dielectric constant of 2.2.

Comparative Example.

A coating solution was prepared by dissolving a ladder-formed hydrolysis-condensation product obtained by hydrolyzing monomethyl triethoxy silane in the presence of an acidic catalyst in ethyl alcohol in a concentration corresponding to 10% by weight calculated as SiO_2 .

The silica-based coating film formed in the same manner as in Example 1 from this coating solution had a dielectric constant of 2.8.

WHAT IS CLAIMED IS:

- 1. A coating solution for the formation of a silica-based coating film on the surface of a substrate which comprises, as a uniform solution:
- (A) an organic solvent; and
- (B) an organopolysiloxane, which is a hydrolysis-condensation product of a polyalkoxy silane compound represented by the general formula

$$R^{1}_{4-n}Si(OR^{2})_{n}$$
,

in which R^1 is a hydrogen atom or a monovalent hydrocarbon group, R^2 is an alkyl group and the subscript n is 2, 3 or 4, dissolved in the organic solvent in the presence of a basic compound.

- 2. The coating solution as claimed in claim 1 in which the polyalkoxy silane compound is selected from the group consisting of tetraalkoxy silane compounds, trialkoxy silane compounds and monoalkyl trialkoxy silane compounds.
- 3. The coating solution as claimed in claim 1 in which the organic solvent as the component (A) is an aprotic polar solvent selected from the group consisting of N-methyl pyrrolidone, dimethyl formamide and dimethyl acetamide.
- 4. The coating solution as claimed in claim 1 in which the concentration of the organopolysiloxane as the component (B) is in the range from 5 to 25% by weight calculated as SiO_2 .
- 5. The coating solution as claimed in claim 1 in which the monovalent hydrocarbon group denoted by R^1 is selected from the group consisting of methyl, ethyl, propyl and butyl groups.
- 6. The coating solution as claimed in claim 1 in which the alkyl group denoted by R^2 is selected from the group consisting of methyl, ethyl, propyl and butyl groups.

- 7. A method for the preparation of a coating solution which comprises the steps of:
- (a) dissolving a polyalkoxy silane compound represented by the general formula

$$R^{1}_{4-n}Si(OR^{2})_{n}$$
,

in which R^1 is a hydrogen atom or a monovalent hydrocarbon group, R^2 is an alkyl group and the subscript n is 2, 3 or 4, in a first organic solvent, which is an alcohol solvent, to give a solution, in a concentration in the range from 1 to 5% by weight calculated as SiO_2 ;

- (b) admixing the solution with a basic compound and water to effect hydrolysis of the polyalkoxy silane compound so as to give an organopolysiloxane as a hydrolysis-condensation product thereof; and
- (c) replacing the first organic solvent in the solution with a second organic solvent, which is an aprotic polar organic solvent, in such an amount that the concentration of the organopolysiloxane in the solution is in the range from 5 to 25% by weight calculated as SiO_2 .
- 8. The method for the preparation of a coating solution as claimed in claim 7 in which the basic compound is ammonia or an amine compound.
- 9. The method for the preparation of a coating solution as claimed in claim 8 in which the basic compound is ammonia.
- 10. The method for the preparation of a coating solution as claimed in claim 7 in which the amount of the basic compound is in the range from 10^{-1} to 10^{-5} mole per mole of the polyalkoxy silane compound.
- 11. The method for the preparation of a coating solution as claimed in claim 7 in which the amount of water is in the range from 2.0 to 20 moles per mole of the polyalkoxy silane compound.

- 12. The method for the preparation of a coating solution as claimed in claim 7 in which the aprotic polar organic solvent is selected from the group consisting of N-methyl pyrrolidone, dimethylformamide and dimethylacetamide.
- 13. A method for the formation of a silica-based coating film on the surface of a substrate which comprises the steps of:
- (1) coating the surface of a substrate with a coating solution comprising, as a uniform solution,
- (A) an organic solvent, and
- (B) an organopolysiloxane, which is a hydrolysis-condensation product of a polyalkoxy silane compound represented by the general formula

$$R^{1}_{4-n}Si(OR^{2})_{n}$$
 ,

in which R^1 is a hydrogen atom or a monovalent hydrocarbon group, R^2 is an alkyl group and the subscript n is 2, 3 or 4, dissolved in the organic solvent in the presence of a basic compound, to form a coating layer;

- (2) drying the coating layer by heating to form a dried coating layer;
- (3) subjecting the dried coating layer to a baking treatment at a temperature of 350 $^{\circ}$ C or higher.
- 14. The method for the formation of a silica-based coating film on the surface of a substrate as claimed in claim 13 in which the temperature of the baking treatment in step (3) is in the range from 350 to 800 $^{\circ}$ C.
- 15. The method for the formation of a silica-based coating film on the surface of a substrate as claimed in claim 13 in which the temperature of heating for drying in step (2) is in the range from 80 to 300 $^{\circ}{\rm C}$.
- 16. A silica-based coating film formed on the surface of a substrate which has a dielectric constant not exceeding 2.5.

ABSTRACT OF THE DISCLOSURE

A silica-based coating film having a low dielectric constant not exceeding 2.5 can be formed on the surface of a substrate to serve as a planarizing layer or an interlayer insulating layer by coating the surface with a unique coating solution containing a hydrolysis-condensation product of a polyalkoxy silane compound such as tetraethoxy silane and monomethyl trimethoxy silane, which is formed by the hydrolysis of a polyalkoxy silane in the presence of a basic catalyst such as ammonia in an alcohol solvent in a relatively low concentration followed by replacement of the alcohol solvent with an aprotic polar solvent such as N-methyl pyrrolidone, followed by drying and baking at 350 to 800 $\ensuremath{\mathbb{C}}$.

DECLARATION AND POWER OF ATTORNEY FOR U.S. PATENT APPLICATION

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to my name; tha	t I verily beli	ieve that I a	m the original, i	first and sole i	inventor (if only one r	ame is listed	below) or ar	stated below nex a original, first and the on the invention	d
Title: SILI	CA-BASED	COATING	FILM ON	SUBSTRATE	AND C	COATING	SOLUTION	THEREFOR		_
I hereby state the state of the	d specification ation in the amendments thation in Intermat I have resent(s) referred at I, Code of a control of the control o	an, or application S hrough national App (if approximated and ed to above. Its close to the Federal Registrated in the first close to t	lication No. PC blicable). understand the lie Patent and T gulations, §1.56 e 35, United Stabelow and have	content of the rademark Official Code, \$1: also identified	e above-ice all inf	dentified spectrum dentified spectrum dentified spectrum dentition known at 172 if this ap	ecification, income to me to	luding the countries be material a Design) o	_, and as amende laims, as amende to patentability a f any application(s s certificate havin	d us
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J	apan		10-1	44808		Ма	y 26, 199	8	Yes	
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I hereby claim the benefit under Title 35, United States Code, §120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not dislcosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose information material to patentability as defined in Title 37, Code of Federal Regulations, §1.56 which occurred between the filing date of the prior application and the national or PCT international filing date of this application.

APPLICATION SERIAL NO.	U.S. FILING DATE	STATUS: PATENTED, PENDING, ABANDONED

And I hereby appoint John T. Miller, Reg. No. 21,120; Michael R. Davis, Reg. No. 25,134; Matthew M. Jacob, Reg. No. 25,154; Jeffrey Nolton, Reg. No. 25,408; Warren M. Cheek, Jr., Reg. No. 33,367; Nils E. Pedersen, Reg. No. 33,145 and Charles R. Watts, Reg. No. 33,142, who together constitute the firm of WENDEROTH, LIND & PONACK, L.L.P., attorneys to prosecute this application and to transact all business in the U.S. Patent and Trademark Office connected therewith.

I hereby authorize the U.S. attorneys named herein to accept and follow instructions from Agata Patent Office as to any action to be taken in the U.S. Patent and Trademark Office regarding this application without direct communication between the U.S. attorneys and myself. In the event of a change in the persons from whom instructions may be taken, the U.S. attorneys named herein will be so notified by me.

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I further declare that all statements made herein of my own knowledge are true, and that all statements on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

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6th Inventor		Date				
7th Inventor		Date				
The above application may be more particularly identified as follows:						
U.S. Applica	tion Serial No.	_ Filing Date				
Applicant Re	ference Number	Atty Docket No				
Title of Inv	ention					